

B. Katherine Swartz

Adjunct Professor of Health Economics and Policy
(Retired January 2019 – currently Adjunct Professor)
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Education

1976	Ph.D., Economics, University of Wisconsin-Madison
1974	M.S., Economics, University of Wisconsin-Madison
1972	B.S., Economics, Massachusetts Institute of Technology

Research and Bio Brief

Prof. Swartz’s research for the past 35 years has concentrated on people without health insurance and how companies compete in American health insurance markets. Her current research focuses on implementation issues related to the 2010 Affordable Care Act (ACA), and health policy issues facing the United States and other OECD countries with aging populations. Her research related to the ACA centers on how to make the ACA insurance marketplaces work easily and efficiently, and how to reduce gaps in coverage for lower-income people with fluctuating incomes. Most recently, she is interviewing community leaders in 2 counties in each of 4 states to understand how communities are responding to uncertainties about federal funding of Medicaid, the ACA subsidies, and programs that focus on particular groups of people (e.g., seniors or infants) or conditions (e.g., mental illness, substance abuse disorders or addictions).

Prof. Swartz was elected to the Institute of Medicine in 2007. She was the 1991 recipient of the David Kershaw Award from the Association for Public Policy Analysis and Management for research done before the age of 40 that has had a significant impact on public policy. She also was a Visiting Scholar at the Russell Sage Foundation between September 2000 and June 2001.

Prof. Swartz was the President of the Association for Public Policy Analysis and Management in 2009. Between November 1995 and June 2007, Prof. Swartz was the Editor of *Inquiry*, a journal that focuses on health care organization, provision and financing. Between 2005 and 2016, she was the Director of the Robert Wood Johnson Scholars in Health Policy Research Program at Harvard University.

Professional Background

2001-2018	Professor, Department of Health Policy and Management, Harvard School of Public Health; Director of Graduate Studies for the Ph.D. Program in Health Policy at Harvard University, 1999 – 2018; and Director of the Robert Wood Johnson Foundation Scholars in Health Policy Research Program at Harvard University, August 2005 – December 2016.
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2016-present	Visiting Faculty, Sanford School of Public Policy, Duke University
2006-2007	Visiting Scholar (part-time), Federal Reserve Bank of Boston
2000-2001	Visiting Scholar, Russell Sage Foundation
1992-2000	Associate Professor, Department of Health Policy and Management, Harvard School of Public Health
1986-1992	Senior Research Associate, Health Policy Center, The Urban Institute (half-time leave in 1989-1990)
1989-1990	Associate Professor, Taubman Center for Public Policy, Brown University
1982-1985	Research Associate, Health Policy Center, The Urban Institute
1977-1982	Assistant Professor, Department of Economics, University of Maryland, College Park
1976-1977	Lecturer, Graduate School of Public Policy (now the Goldman School of Public Policy), University of California, Berkeley
1975-1976	Dissertation Fellow, US Department of Labor Manpower and Training Administration, University of Wisconsin-Madison
1972-1974	Research Assistant for Profs. K.R. Smith and F. Golladay, Economics Department, University of Wisconsin-Madison
Feb-Aug 1972, and Summer of 1973	Economist, US Department of Health, Education and Welfare, Office of the Deputy Assistant Secretary for Planning and Evaluation for Health (Dr. Stuart Altman)
Summer 1970	Research Assistant for the Staff of the New York State Council of Economic Advisors

Honors

2008-2009	President-Elect and then President of the Association for Public Policy Analysis and Management
2007	Elected Member of the Institute of Medicine/National Academy of Medicine
2006-2007	Visiting Scholar (part-time), Federal Reserve Bank of Boston, October 2006 – July 2007.
2000-2001	Visiting Scholar, Russell Sage Foundation, September 2000 – June 2001.
1999	Elected Member of the National Academy of Social Insurance.
1999	Invited Speaker, Midland Health Policy Lecture, Ohio State University, December.

1991 Recipient of the David Kershaw Award for research done before the age of 40 that has had a significant impact on public policy. (Awarded by the Association for Public Policy Analysis and Management).

Other Awards

2018 Recipient of the Everett Mendelsohn Excellence in Mentoring Award from the Graduate Student Council of the Graduate School of Arts and Sciences, Harvard University.

2009 Recipient of Harvard School of Public Health Student Body Mentoring Award.

1997 Silver Award Winner for Editorial or Column in Scholarly Journals, by the Society of National Association Publications.

1996 Recipient of Harvard School of Public Health Student Body Excellence in Teaching Citation.

Professional Activities

Member of Review-Advisory Committee for proposals submitted to the Russell Sage Foundation's Initiative on the Social, Political and Economic Effects of the Affordable Care Act, March 2014 – present.

Co-Chair, Strategic Planning Committee for the 2015-2020 Strategic Plan for the Association for Public Policy Analysis and Management, January – November 2015.

Co-Editor (with Joan Costa-Font and Jose Luis Fernandez) of special supplementary issue of Health Economics devoted to Long-Term Care, 24(S1)-published March 2015, July 2013 – December 2014.

Mentor for Three Commonwealth Fund/Harkness Fellows, 2011-2016.

Member of Research Review Committee for the Institute for Aging Research and Trustee of Hebrew Senior Life, April 2014 – April 2016.

Co-Chair of Robert M. Ball Event for the National Academy of Social Insurance, June 3, 2014.

Member, Editorial Committee, Annual Review of Public Health, 2010 – 2014.

President-elect, President, and Immediate Past President of the Association for Public Policy Analysis and Management, November 2007-December 2010. (President-elect is responsible for the Annual Research Conference Program.)

Editor, *Inquiry*, November 1995 – June 2007.

Outside Member (unpaid) of the Board of Directors for the Harvard Medical Faculty Physicians at Beth Israel Deaconess Medical Center, March 2007 – December 2017.

Co-Editor of the Social Insurance Research Network *Health Care Delivery and Financing Abstracts* (a monthly on-line service sponsored by the National Academy of Social Insurance, (Timothy S. Jost, Prof. of Law, Washington and Lee University is my co-editor), July 2004 – present.

Member, External Review Committee for the Taubman Center for Public Policy and American Institutions at Brown University, Spring 2009.

Member, Research Committee, Economic Research Initiative on the Uninsured, University of Michigan, March 2001 – December 2006.

Member, Massachusetts Commission to Study the Effects of Merging the Small Group and Individual Health Insurance Markets in Massachusetts, May-December 2006.

Vice-President of the Association for Public Policy Analysis and Management, November 2002 – November 2004.

Member of the National Advisory Committee for the Robert Wood Johnson Foundation's Changes in Health Care Financing and Organization (HCFO) Program, June 1997-June 2014; previously member of the Technical Advisory Committee for the HCFO Program, 1989-1997.

Consultant for the Committee on the Evaluation of Vaccine Purchase Financing in the United States, Institute of Medicine, 2003 (authored a paper on how health insurance companies and health plans were planning for new vaccines).

Member of the Program Committee for the 2013, 2011, 1997, 1992, and 1991 Research Conferences of the Association for Public Policy Analysis and Management.

Member of the Program Committee for the American Economic Association 2003 Annual Meeting, January-December 2002.

Member of the Task Force on Health Care (Subcommittee on Health Care Access) for the Commonwealth of Massachusetts' Secretary of Health and Human Services (William O'Leary), March-September 2000.

Member of the Executive Committee for the Planning Committee for the 2001 Annual Meeting of the Academy of Health Services Research and Health Policy.

Chair, Association for Health Services Research 1999 Article-of-the-Year Award Committee.

Member of the Advisory Committee for Mathematica Policy Research, Inc.'s research on issues related to children's health insurance coverage for the Office of the Assistant Secretary for Planning and Evaluation in the US Department of Health and Human Services, 1998-2000.

Member of the Technical Advisory Panel for the Evaluation of the Oregon Medical Reform Demonstration (for the Health Care Financing Administration), February 1995-February 1997.

Member of the Technical Advisory Panel for the MEPS/NMES-3 for the Agency for Health Care Policy and Research, July 1994-September 1997.

Co-Editor of "Insights" Section in the Journal of Policy Analysis and Management, January 1992-April 1993.

Secretary of the Association for Public Policy Analysis and Management, October 1991-October 1993.

Member of the Policy Council of the Association for Public Policy Analysis and Management, January 1995 – October 1999, and October 1987 – October 1991.

Member of the Board of Editors for the Journal of Health Politics, Policy and Law, 1991-2004.

Member of the Catholic Health Association Working Group on Rationing, May 1990-February 1991.

Member of the National Academy of Sciences/Institute of Medicine Panel on Access to Health Care, April 1989-June 1991.

Member of the National Institutes of Health Biosafety Committee, January 1988-September 1989.

Member of the Technical Review Panel for the Robert Wood Johnson Foundation's Evaluation of AIDS Health Services Program, 1989-1991.

Member of the Editorial Board of the Urban Institute Press, 1984-1992.

Reviewer for:

Institute of Medicine and National Research Council Reports

Journal of Health Politics, Policy and Law

Journal of Health Economics

Journal of Policy Analysis and Management

Health Affairs

Journal of the American Medical Association

New England Journal of Medicine

American Journal of Public Health

Journal of Human Resources

American Journal of Health Economics

American Economic Journal: Economic Policy

Publications

Books

Reinsuring Health: Why More Middle-Class People Are Uninsured and What Government Can Do, New York: Russell Sage Foundation Press, June 2006.

Articles in Peer-Reviewed Journals

“Long-Term Care Partnerships: Are they fit for purpose?” S. Bergquist, J. Costa-Font, and K. Swartz, Journal of the Economics of Ageing, 12: 151-158 (available online April 2018; in print November 2018).

“Effects of ACA Marketplaces and Medicaid Eligibility Expansion on Access to Cancer Care,” J.A. Graves and K. Swartz (corresponding author), The Cancer Journal, 23(3): 168-174 (May/June 2017).

“Women in The United States Experience High Rates Of Coverage ‘Churn’ In Months Before And After Childbirth,” J.R. Daw, L.A. Hatfield, K. Swartz, and B.D. Sommers, Health Affairs, 36(4): 598-606 (April 2017); chosen as one of ten “Health Affairs In 2017: Editor’s Picks,” Health Affairs Blog, January 24, 2018.

“Reducing Medicaid Churning: Extending Eligibility for Twelve Months or to End of Calendar Year Are Most Effective,” K. Swartz, P.F. Short, D.R. Graefe, and N. Uberoi, Health Affairs, 34(7): 1180-7 (July 2015).

“Partnership Program for Long-Term Care Insurance: The Right Model for Addressing Uncertainties with the Future?” S. Bergquist, J.Costa-Font, and K. Swartz (corresponding author), Aging and Society, published ahead of print 16 July 2015; 16(9): 1779-93 (October 2016).

“Financing Long-Term Care: Ex-ante, Ex-post or Both?” J. Costa-Font, C. Courbage, K. Swartz, Health Economics, 24(S1: special issue on long-term care): 45-57 (March 2015).

“Shifting the Open Enrollment Period for ACA Marketplaces Could Increase Enrollment and Improve Plan Choices,” K. Swartz and J.A. Graves, Health Affairs, 33(7): 1286-93; published ahead of print 25 June (2014).

“Medicaid and Marketplace Eligibility Changes Will Occur Often in All States; Policy Options Can Ease Impact,” B.D. Sommers, J.A. Graves, K Swartz, and S. Rosenbaum, Health Affairs, 33(4): 700-7; published ahead of print 12 March (2014).

“Understanding State Variation in Health Insurance Dynamics Can Help Tailor Enrollment Strategies for ACA Expansion,” J.A. Graves and K. Swartz, Health Affairs, 32 (10): 1832-40; published ahead of print 25 Sept. (2013).

“Searching for a Balance of Responsibilities: OECD Countries’ Changing Elderly Assistance Policies,” Annual Review of Public Health, 34: 397-412 (2013).

“Health Insurance Exchanges in Switzerland and the Netherlands Offer Five Key Lessons for the Operations of US Exchanges,” E. van Ginneken, K. Swartz, and P. Van der Wees, Health Affairs, 32(4): 744-52 (April 2013).

“New Estimates of Gaps and Transitions in Health Insurance,” P.F. Short, D.R. Graefe, K. Swartz, and N. Uberoi, Medical Care Research and Review, 69(6): 729-36 (December 2012).

“Perspective: Health Care Reform and the Dynamics of Insurance Coverage – Lessons from Massachusetts,” J.A. Graves and K. Swartz, New England Journal of Medicine, 367(13): 1181-4 (27 Sept 2012).

“Perspective: Implementing Insurance Exchanges — Lessons from Europe,” E. van Ginneken and K. Swartz, New England Journal of Medicine, 367(8): 691-3 (23 Aug 2012).

“Reasons for the Wide Variation in Medicaid Participation Rates Among States Hold Lessons for Coverage Expansion in 2014,” B.D. Sommers, M.R. Tomasi, K. Swartz, and A.M. Epstein, Health Affairs, 31(5): 909-19 (May 2012).

“Long-Term Care: Common Issues and Unknowns,” K. Swartz, N. Miake, and N. Farag, Journal of Policy Analysis and Management, 31(1):139-52 (2012).

“Policy Makers Should Prepare for Major Uncertainties in Medicaid Enrollment, Costs, and Needs for Physicians Under Health Reform,” B.D. Sommers, K. Swartz, and A.M. Epstein, Health Affairs, 30(11):2186-93 (November 2011).

“Sharing the Financial Responsibility of Caring for Aging Parents: Commentary,” Journal of American Medical Association, 306(7):760-1 (17 Aug 2011).

“Easier Said Than Done: Expert Reflection on “Toward a Standard of Affordability for Health Insurance Premiums,” Journal of Health Politics, Policy and Law, 36(5):855-7 (October 2011); advance publication online 22 July 2011.

“Challenges in an Aging Society – Presidential Address,” Journal of Policy Analysis and Management, 29(2): 227-42 (Spring 2010).

“Cost and Coverage Implications of the McCain Plan to Restructure Health Insurance,” T. Buchmueller, S.A. Glied, A. Royalty, and K. Swartz, Health Affairs Web Exclusive, 16 September, 2008, pp. w472-81.

“The Relative Importance of Worker, Firm, and Market Characteristics for Racial/Ethnic Disparities in Employer-Sponsored Health Insurance,” J. Haas and K. Swartz, Inquiry (Jack Hadley as Editor *pro tem*), 44(3): 280-302 (Fall 2007).

“Harvard Medical School Public Forum: Insuring the Uninsured: Does Massachusetts Have the Right Model?” Journal of Clinical Ethics, 18(3): 1-24 (Fall 2007).

“Budget Impact of Medicaid Section 1115 Demonstrations for Early HIV Treatment,” B. R. Schackman, K. A. Freedberg, S. J. Goldie, M.C. Weinstein, and K. Swartz, Health Care Financing Review, 26(4): 67-80 (Summer 2005).

“Reinsuring Risk to Increase Access to Health Insurance,” American Economic Review, 93(2): 283-7 (May 2003).

“Government as Reinsurer for Very-High-Cost Persons in Nongroup Health Insurance Markets,” Health Affairs – Web Exclusive, 23 October 2002, pp. W380-2.

“Justifying Government as the Backstop in Health Insurance Markets,” Yale Journal of Health Policy, Law, and Ethics, 2(1): 89-108 (Fall 2001).

“Family Income and Crowd Out Among Children Enrolled in Massachusetts’ Children’s Medical Security Plan,” E. Feinberg, K. Swartz, A. Zaslavsky, J. Gardner, and D.K. Walker, Health Services Research, 36(6-Part II) (December 2001), on-line issue at www.hsr.org/AliceHersh/03-Feinberg.pdf.

“Language Proficiency and the Enrollment of Medicaid-Eligible Children in Publicly Funded Health Insurance Programs,” E. Feinberg, K. Swartz, A. Zaslavsky, J. Gardner, and D.K. Walker, Maternal and Child Health Journal, 6(1): 5-18 (2002).

“Family Income and the Impact of a Children’s Health Insurance Program on Reported Need for Health Services and Unmet Health Need,” E. Feinberg, K. Swartz, A. Zaslavsky, J. Gardner, and D.K. Walker, Pediatrics, 109(2): e29 (February 2002).

“Markets for Individual Health Insurance: Can We Make Them Work with Incentives to Purchase Insurance?,” Inquiry, 38(2): 133-45 (Summer 2001).

“Effectiveness of Housing Policies to Reduce Children’s Lead Exposure,” M.J. Brown, J. Gardner, J. Sargent, K. Swartz, H. Hu, and R. Timperi, American Journal of Public Health, 91(4): 621-4 (April 2001).

“Adverse Selection and Price Sensitivity When Low-Income People Have Subsidies to Purchase Health Insurance in the Private Market,” K. Swartz and D.W. Garnick, Inquiry (Jack Hadley as Editor *pro tem*), 37(1): 45-60 (Spring 2000).

“Lessons from New Jersey’s Creation of a Market for Individual Health Insurance,” K. Swartz and D.W. Garnick, Journal of Health Politics, Policy and Law, 25(1): 45-70 (February 2000).

“Meeting Information Needs: Lessons Learned from New Jersey’s Individual Health Insurance Reform Program,” D.W. Garnick and K. Swartz, Medical Care Research and Review, 56(4): 456-70 (December 1999).

“The Death of Managed Care As We Know It,” Journal of Health Politics, Policy and Law, 24(5): 1201-5 (October 1999).

“Can Adverse Selection Be Avoided in a Market for Individual Health Insurance?” K. Swartz and D.W. Garnick, Medical Care Research and Review, 56(3): 373-88 (September 1999).

“Hidden Assets: New Jersey’s Reform of the Market for Individual Health Insurance,” K. Swartz and D.W. Garnick, Health Affairs, 18(4):180-7 (July/August 1999).

“The Effect of Change of Health Insurance on Access to Care,” H.R. Burstin, K. Swartz, A.C. O’Neil, E.J. Orav, and T.A. Brennan, Inquiry (Jack Hadley as Editor *pro tem*), 35(4):389-97 (Winter 1998/99).

“Insurance Agents: Ignored Players in Health Insurance Reform,” D.W. Garnick, K. Swartz, and K.C. Skwara, Health Affairs, 17(2): 137-43 (March/April 1998).

“Insurance or a Regular Physician: Which is the Most Powerful Predictor of Access to Health Care?”, C.M. Sox, K. Swartz, H.R. Burstin, and T.A. Brennan, American Journal of Public Health, 88(3): 364-70 (March 1998).

“Changes in the 1995 Current Population Survey and Estimates of Health Insurance Coverage,” Inquiry (Jack Hadley as Editor *pro tem*), 34(1):70-9 (Spring 1997).

“Babies Are Coming: Don’t Cap Medicaid,” Journal of the American Medical Association, 277(5): 421 (5 Feb 1997).

“Integrated Health Care, Capitated Payment and Quality: The Role of Regulation,” K. Swartz and T.A. Brennan, Annals of Internal Medicine, 124(4): 442-8 (15 Feb 1996).

“Reducing Risk Selection Requires More than Risk Adjustments,” Inquiry, 32(1): 6-10 (Spring 1995).

“Dynamics of People Without Health Insurance: Don’t Let the Numbers Fool You,” Journal of the American Medical Association, 271(1): 64-6 (5 Jan 1994).

“Whither Employment?: A Comment on the Clinton Health Reform Plan,” Journal of Health Politics, Policy and Law, 19(1): 243-7 (Spring 1994).

“Personal Characteristics and Spells Without Health Insurance,” K. Swartz, J. Marcotte and T.D. McBride, Inquiry, 30(1): 64-76 (Spring 1993).

“Spells Without Health Insurance: The Distribution of Durations When Left-Censored Spells Are Included,” K. Swartz, J. Marcotte and T.D. McBride, Inquiry, 30(1): 77-83 (Spring 1993).

“Community Rating: An Idea Whose Time Has Come (Again),” Journal of American Health Policy, 3(1): 34-7 (January/February 1993).

“Home Health Care: To Regulate or Not?,” Journal of Policy Analysis and Management, 11(3): 492-6 (Summer 1992).

“Why Requiring Employers to Provide Health Insurance Is a Bad Idea,” Journal of Health Politics, Policy and Law, 15(4): 779-92 (Winter 1990).

“Spells Without Health Insurance: Distributions of Durations and Their Link to Point-in-Time Estimates of the Uninsured,” K. Swartz and T.D. McBride, Inquiry, 27(3): 281-8 (Fall 1990).

“The Impacts of Hospital Rate Regulation, Competition, and Changes in Health Insurance Coverage on Hospital Costs Between 1980 and 1984,” J. Hadley and K. Swartz, Inquiry, 26(1): 35-47 (Spring 1989).

“How the Overlap Between the Poverty and Medicaid Populations Changed Between 1979 and 1983, or Lessons for the Next Recession,” Journal of Human Resources, 24(2): 319-30 (Spring 1989).

“Workers Needing Insurance: Who Are They?” Business and Health, September 1987.

“Training Women on Welfare for ‘High-Tech’ Jobs: Results from a Demonstration Program,” J.C. Weidman, R.N. White and K. Swartz, Evaluation and Program Planning, 11(2): 105-14 (1987).

“Interpreting the Estimates from Four National Surveys of the Number of People Without Health Insurance,” Journal of Economic and Social Measurement, 14(3): 233-42 (October 1986).

“The Uninsured in Massachusetts,” D. Friedman and K. Swartz, Massachusetts Journal of Community Health, Fall/Winter 1985-86, pp. 10-15.

“Information in the Hiring Process: A Case Study,” Journal of Economic Behavior and Organization, 2: 71-94 (June 1981).

“Helping the Jobless: Theories and Practices,” The Wilson Quarterly, Winter 1980, pp. 138-149.

“Old Policies, New Policies, or No Policies for Dealing with Women's Higher Unemployment Rates,” C. Vickery (Brown), B. Bergmann and K. Swartz, American Economic Review, 68(2): 90-4 (May 1978).

Chapters in Books

“Medicare and Medicaid: Shifting Disparities for the Elderly,” Chapter 10 in The Legacies of the War on Poverty: 50 Year Retrospective, edited by Martha J. Bailey and Sheldon Danziger, New York: Russell Sage Foundation (2013).

“Health Care for the Poor: For Whom, What Care, and Whose Responsibility?” Chapter 12 in Changing Poverty, Changing Policies, M. Cancian and S. Danziger (editors), New York: Russell Sage Foundation Press, 2009.

“Uninsured in America: New Realities, New Risks” in Health at Risk: America’s Ailing Health System – and How to Heal It, J. Hacker (editor), New York: Columbia University Press and the SSRC, 2008.

“Costs of Health Care and Health Care Spending,” for Encyclopedia of Health Services Research, Thousand Oaks, CA: Sage Publications, 2009.

“Uninsured Individuals,” for Encyclopedia of Health Services Research, Thousand Oaks, CA: Sage Publications, 2009.

“Revising Employers’ Role in Sponsoring and Financing Health Insurance/Medical Care,” in A Future of Good Jobs? America’s Challenge in the Global Economy, T. J. Bartik and S. N. Houseman (editors), Kalamazoo, MI: W.E. Upjohn Institute, April 2008.

“Insurance Market Reform: When, How, and Why?” in State Health Insurance Market Reform: A Dialogue Between Policymakers and Researchers, A. Monheit and J. Cantor (editors), New York: Routledge Press, September 2004.

“Sharing High Risks: How Government Can Make Health Insurance Markets More Efficient and More Affordable,” Chapter 5 in The Economics of Risk, D. J. Meyer (editor), Kalamazoo, MI: W.E. Upjohn Institute, December 2003.

“Health Insurance Coverage of People in the Ten Years Before Medicare Eligibility,” K. Swartz and B. Stevenson, in Ensuring Health and Income Security for an Aging Workforce, P.P. Budetti, R. V. Burkhauser, J.M. Gregory, and H.A. Hunt (editors), Kalamazoo, MI: W.E. Upjohn Institute, 2001.

“Financial Access to Health Care,” in Health Policy: Understanding Our Choices from National Reform to Market Forces, M.M. Rosenthal and M. Heirich (editors), Boulder, CO: Westview Press (HarperCollins), 1998.

“All Uninsured Are Not the Same,” in The Future U.S. Health Care System: Who Will Care for the Poor and Uninsured?, S. Altman, U. Reinhardt, and A. Shields (editors), Health Administration Press: Chicago, 1997.

“Characteristics of Workers without Employer-Group Health Insurance,” Chapter 8 in Trends in Health Benefits, D. Bellar, W. Wiatrowski, and J. Turner (editors), U.S. Department of Labor, Government Printing Office, Washington, D.C., 1992.

“Medicaid Costs, Ambulatory Care Setting and Hospital Use,” P.J. Held and K. Swartz, in Ambulatory Care, S.H. Altman, J. Lion and J.L. Williams (editors), Lexington, MA: Lexington Books, 1983.

Used Math, (statistics chapter), with C.E. Swartz and P.A. Swartz, Englewood Cliffs, NJ: Prentice Hall, 1973.

Issue Briefs and Reports

“How Insurers Competed in the Affordable Care Act’s First Year,” K. Swartz, M.A. Hall, and T.S. Jost, Commonwealth Fund Issue Brief, (Pub 1824), 24 June 2015; also published as “How Insurers Are Competing Under the Affordable Care Act,” Houston Journal of Health Law & Policy, 15(1), Symposium 2015.

“Establishing Health Insurance Exchanges: Three States’ Progress,” M. Hall and K. Swartz, Commonwealth Fund Policy Brief, 16 July 2012.

“Realizing Health Reform's Potential: Maintaining Coverage, Affordability, and Shared Responsibility When Income and Employment Change,” P.F. Short, K. Swartz, N. Uberoi, and D.R. Graefe, Commonwealth Fund Policy Brief, 19 May 2011.

“Cost-Sharing: Effects on Spending and Outcomes,” Robert Wood Johnson Foundation Synthesis Project Report No. 20, December 2010.

“Cost-Sharing: Effects on Spending and Outcomes,” S. Goodell and K. Swartz, Robert Wood Johnson Foundation Synthesis Project Policy Brief, December 2010.

Reinsurance: How States Can Make Health Coverage More Affordable for Employers and Workers. Publication Number 820, New York: The Commonwealth Fund, July 2005.

“Covering the Uninsured,” Harvard Magazine, May/June 2004, pp. 36-38.

Healthy New York: Making Insurance More Affordable for Low-Income Workers, Report #484, New York: The Commonwealth Fund, November 2001.

“Restructuring Health Insurance and Health Insurance Markets to Cover the Uninsured,” 1999 Midland Health Policy Lecture (invited), Ohio State University, December 1999 (revised February 2000).

Strategies for Assisting the Medically Uninsured, Washington, DC: The Urban Institute and the Intergovernmental Health Policy Project, August 1989.

The Medically Uninsured: Special Focus on Workers, Washington, DC: The Urban Institute Press, July 1989.

“What Is the Overlap Between the Uninsured, Underinsured, and People With AIDS?,” Appendix A in Medical Testing and Health Insurance, U.S. Congress Office of Technology Assessment, OTA-H-384, August 1988.

“A Note on the Strengths and Weaknesses of Using the CPS to Estimate Children's Health Insurance Coverage,” Background Paper (available from National Technical Information Service) for Healthy Children: Investing in the Future, Congress of the United States, Office of Technology Assessment, February 1988.

The Health Insurance Status of Mid-Life Women: The Problem and Options for Alleviating It, K. Swartz and M. Moon, Washington, DC: the American Association of Retired Persons, December 1986.

The Uninsured and Uncompensated Care, (a chartbook), M.B. Sulvetta and K. Swartz, Washington, DC: National Health Policy Forum, June 1986.

Editorials for *Inquiry*

- “Time for a Change – Time for Universal Coverage,” *Inquiry*, 44(1): 5-7 (Spring 2007).
- “Electronic Medical Records – Federal Standards Needed,” *Inquiry*, 43(4): 307-8 (Winter 2006/2007).
- “Investing in the Future of Health,” *Inquiry*, 43(3): 187-9 (Fall 2006).
- “Big Digs Are Not Just in Boston – The Need for Government Oversight,” *Inquiry*, 43(2): 87-8 (Summer 2006).
- “Time to Finance Health Insurance Differently,” *Inquiry*, 43(1): 3-5 (Spring 2006).
- “Wish List for Research Papers in 2006,” *Inquiry*, 42(4): 317-9 (Winter 2005/2006).
- “Provide Medicaid to Evacuees,” *Inquiry*, 42(3): 208-10 (Fall 2005).
- “healthresearchfunding.org – Add Your Voice to Increase Funding for Investigator-Initiated Research,” *Inquiry*, 42(2): 107-9 (Summer 2005).
- “Informed Consumer – Caveat Emptor,” *Inquiry*, 42(1): 3-5 (Spring 2005).
- “The Risks of an Ownership Society,” *Inquiry*, 41(4): 357-9 (Winter 2004/2005).
- “Common Ground and Common Values,” *Inquiry*, 41(3): 243-4 (Fall 2004).
- “It’s Time to Fix Broken Insurance Promises to Workers,” *Inquiry*, 41(2): 113-5 (Summer 2004).
- “Tearing Medicare Apart,” *Inquiry*, 41(1): 3-5 (Spring 2004).
- “Medicare Modernization and Distributional Implications,” *Inquiry*, 40(4): 315-7 (Winter 2003/04).
- “Blind Faith?” *Inquiry*, 40(3): 215-6 (Fall 2003).
- “*Inquiry*’s 40th Anniversary – and Research Questions,” *Inquiry*, 40(2): 111-3 (Summer 2003).
- “Bush’s Medicaid Proposal Puts States Between a Rock and a Hard Place,” *Inquiry*, 40(1): 3-5 (Spring 2003).
- “Opportunities for Designing Health Insurance Demonstrations,” *Inquiry*, 39(4): 331-3 (Winter 2002/2003).
- “A Need for New Ideas,” *Inquiry*, 39(3): 199-200 (Fall 2002).
- “Effects of Rising Costs on Health Insurance Coverage: Private and Public Choices Are Not Independent of One Another,” *Inquiry*, 39(2): 93-5 (Summer 2002).
- “Health Is A Budget Priority – Its Funding Needs to be Restored,” *Inquiry*, 39(1): 3-4 (Spring 2002).
- “ ‘Enron-ing’ Health Insurance?” *Inquiry*, 38(4): 344-6, (Winter 2001/2002).

- “Risk Adjustment in the Private Sector,” Inquiry, 38(3): 240-1 (Fall 2001).
- “Tax Credits and Incentives to Purchase Health Insurance,” Inquiry, 38(2): 88-9 (Summer 2001).
- “Tax Cuts and Cynicism,” Inquiry, 38(1): 3-5 (Spring 2001).
- “Compromise Depends on Where You Sit,” Inquiry, 37(4): 335-7 (Winter 2000/2001).
- “Health Insurance Problems Are Not Going Away,” Inquiry, 37(3): 231-3 (Fall 2000).
- “Questions to Ask Political Candidates,” Inquiry, 37(2): 117-20 (Summer 2000).
- “The Human Genome and Medical Care in the New Century,” Inquiry, 37(1): 3-6 (Spring 2000).
- “What Money Can’t Buy: The Bad Policy of Substituting Fixed Payments for Employer-Sponsored Health Insurance,” Inquiry, 36(4): 371-3 (Winter 1999/2000).
- “Insurance for All Americans,” Inquiry, 36(3): 241-3 (Fall 1999).
- “Peer-Reviewed Journals and Quality,” Inquiry, 36(2): 119-21 (Summer 1999).
- “Medicare Premium Supports,” Inquiry, 36(1): 5-7 (Spring 1999).
- “Be Creative in Consumer Cost-Sharing for Pharmaceutical Benefits,” Inquiry, 35(4): 365-8 (Winter 1998/99).
- “Fund the 2000 Census Statistical Samples,” Inquiry, 35(3): 247-9 (Fall 1998).
- “Risk Selection and Medicare + Choice: Beware,” Inquiry, 35(2): 101-3 (Summer 1998).
- “Medicare Expansions 35 Years Later,” Inquiry, 35(1): 6-8 (Spring 1998).
- “It Takes A Nation,” Inquiry, 34(4): 275-7 (Winter 1997/98).
- “Where is Efficiency Leading Our System of Health Insurance?” Inquiry, 34(3): 193-5 (Fall 1997).
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- “Medical Savings Accounts and Research,” Inquiry, 33(3): 216-9 (Fall 1996).
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“Health Care Reform and the Dynamics of Uninsurance: Lessons from Massachusetts,” J.A. Graves and K. Swartz, July 2011.

“National Proposals to Increase Access to Health Insurance: Partial Solutions,” for the Florida Governor’s Health Care Summit: Solutions for the Uninsured, September 2000.

“States’ Pharmaceutical Assistance Programs for Senior Citizens: It Matters Where You Live,” T.T. Powderly and K. Swartz, January 2000.

“Health Insurance Coverage within Families: Dynamics of Everyone Being Uninsured Over Time,” July 1999.

“Satisfaction with Health Plans Among People Insured in the Individual Health Insurance Market in New Jersey,” D.W. Garnick, K. Swartz, and K.C. Skwara, April 1999.

“Regulating Markets: Lessons from New Jersey’s Individual Health Coverage Program,” K. Swartz and D. Garnick, October 1998.

“The Best of Back-Room Politics: Lessons from New Jersey’s Implementation of A Market for Individual Health Insurance,” K. Swartz and D.W. Garnick, July 1998.

“People Who Purchase Individual Health Insurance Policies: What Was Their Previous Experience With and Without Health Insurance?” K. Swartz and D. Garnick, April 1998.

“Regulating Individual Health Insurance Markets: Be Wary of Simple Models and Unintended Consequences,” K. Swartz and D. Garnick, January 1998.

“Parametric Methods for Incorporating Spells in Progress into Duration Models,” J. Marcotte, V. Freedman, and K. Swartz, May 1993.

“Adults With Multiple Spells Without Health Insurance,” K. Swartz and J. Marcotte, June 1993.

“Children and Spells Without Health Insurance,” K. Swartz and J. Marcotte, March 1993.

“Estimates of the Uninsured Population from the Survey of Income and Program Participation: Size, Characteristics, and the Possibility of Attrition Bias,” Urban Institute Working Paper 3949-01, February 1990.

“The Demand for ‘Self-pay’ Health Insurance: An Empirical Investigation,” Urban Institute Working Paper No. 3308-03, June 1985, revised June 1988.

“Who are the Employed Adults Without Health Insurance?,” Urban Institute Working Paper 2147-02, April 1987.

“Small Firms’ Demand for Health Insurance,” K. Swartz, D. Wolf and E. Simon, Urban Institute Working Paper No. 3308-05, September 1985.

“Who Has Been Without Health Insurance? Changes Between 1963 and 1979,” Urban Institute Working Paper No. 3308-01, revised April 1984.

Testimony, Invited Talks, Presentations, and Op Ed Pieces (Selected, since 1991)

“Implications of Current Healthcare Reform Proposals,” Beth Israel Deaconess Medical Center Emergency Department Grand Rounds, Boston, April 5, 2017.

“State of the Marketplaces,” Institute for Government Studies Conference “Check Up on ObamaCare: Implementation and Prognosis,” UC Berkeley, October 8, 2014.

Radcliffe Institute, Harvard University Symposium “Taking Stock of Health Reform – Accomplishments, Challenges, and Political Prospects” – Prof. Theda Skocpol (Harvard Government and Sociology Departments) and I obtained funding from the Radcliffe Institute to organize and hold a two-day workshop (with 5 panels and one public forum) on health reform under the ACA; I was one of 5 speakers at the public forum, October 2, 2014.

“Obamacare Cures ‘Job Lock’,” T. Skocpol and K. Swartz, USA Today, Op-Ed Column on-line 6 Feb. 2014; available at: <http://www.usatoday.com/story/opinion/2014/02/06/obamacare-cbo-numbers-jobs-economy-column/5258933/>

“The Implications of Medicare's Higher Cost for Current and Future Beneficiaries,” National Academy of Social Insurance Annual Research Conference, January 30, 2014.

“Financing Long-Term Care in Canada and Lessons from OECD Countries,” Canadian Institutes of Health Research and the Alberta Health Ministry Conference on “Public Policy Options for Financing the Long-term Care System,” Edmonton, Alberta – September 24-25, 2013

“Medicaid and Health Policy for the Poor,” invited seminar, Pennsylvania State University, Department of Health Policy and Administration, October 27, 2008.

Cornell University “Educate the Voter” debate immediately preceding the televised 2008 first Presidential candidates’ debate, September 26, 2008. Mark Pauly and I were invited to debate health policy issues (along with four other national experts to debate Social Security and immigration issues) before an audience of about 1300 students, faculty and people from the Ithaca community.

“Evolution of Public Health Programs to Help the Poor in the US,” invited seminar, Department of Policy Analysis and Management, Cornell University, September 26, 2008.

“Government Sponsored Reinsurance – How It Might Improve Insurance Markets,” National Association of Insurance Commissioners Health Innovations Working Group Meeting, Washington, DC, September 22, 2008.

“Improving the Balance,” for Session III: How Should We Balance Affordability and Comprehensive Coverage, National Academy of Social Insurance 20th Annual Conference (“Getting to Universal Health Insurance Coverage”), January 31, 2008.

“Ensure That Every American Has Health Insurance,” Newsweek, December 10, 2007, pp. 78-80.

“Pro: Cure for the Uninsured” for Business Week.com’s “Debate Room: Universal Health Care: No Sick Joke,” June 11, 2007.

“Defining the Problem: Who Are the Uninsured and Why Are They Uninsured,” Keynote Address, Federal Reserve Bank of Boston Conference on Covering the Uninsured, December 5, 2006.

“Big Health Savings for Small Businesses: A Safety Net for Insurers Could Put an End to Entrepreneurs’ Precariously High Premiums,” Fortune Small Business, October 2006 (and available September 20, 2006 on line).

“New Job, New Life, No Insurance,” Op Ed, Boston Globe, September 12, 2006.

“The Role of Reinsurance in Reducing the Number of Uninsured,” Health Policy Institute of Ohio, Columbus, July 14, 2006; and at Rutgers University and New Jersey Department of Banking and Insurance: Expert Panel on Reinsurance, Princeton, June 14, 2006.

“Covering the Uninsured – Government Reinsurance to Increase Access to Private Health Insurance,” presented at Rutgers University Center for State Health Policy, December 6, 2004; Institute for Work and Employment Research, Sloan School, MIT, October 5, 2004; and Columbia University Department of Medicine and Division of General Medicine, September 8, 2004.

“Being Uninsured Is A Growing Problem for the Middle-Class – and Why Reinsurance Could Help,” Symposium on Health Care, Maxwell School of Syracuse University, November 19, 2004.

“The Effects of Worker, Firm and Market Characteristics on Access to Employer-Sponsored Health Insurance,” (paper with Jennifer Haas), Economic Research Initiative on the Uninsured at the University of Michigan, Conference on Vulnerable Populations, October 22, 2004.

“What States Need to Consider for Reinsurance Programs,” Presentation for the Commonwealth Fund’s Task Force on the Future of Health Insurance, October 15, 2004.

“The Effects of Worker, Firm and Residential Characteristics on Health Insurance as Part of Employment Compensation,” (paper with Jennifer Haas), Agency for Healthcare Research and Quality Conference on MEPS, Washington, DC, May 12, 2004.

“Risk and Health Insurance Basics,” National Health Policy Forum, Washington, DC, September 25, 2003.

“Insurance Market Reform: When, How, and Why?,” prepared for conference “State Health Insurance Regulation Toward Inclusive and Sustainable Health Insurance Markets: A Dialogue Between Policymakers and Researchers,” Trenton, NJ, April 10, 2003.

“Sharing High Risks: How Government Can Make Health Insurance Markets More Efficient and More Affordable,” W.E. Upjohn Institute and Western Michigan University Department of Economics Werner Sichel Lecture-Seminar Series on The Economics of Risk, March 2002.

“Rising Health Care Costs and Numbers of People Without Health Insurance,” Council on the Economic Impact of Health System Change, Washington, DC, January 11, 2001.

“Making Health Insurance Affordable,” *Boston Globe*, October 23, 2000, page A19.

“National Proposals to Increase Access to Health Insurance: Partial Solutions,” Keynote Talk at the “Florida Governor’s Health Care Summit: Solutions for the Uninsured,” September 21, 2000.

“How Will Genetic Advances Play Out?” *Boston Globe*, May 18, 2000, page A23.

“National Proposals to Increase Access to Health Insurance: Partial Solutions,” the Florida Governor’s Health Care Summit: Solutions for the Uninsured, September 2000.

“The Uninsured and the Need to Restructure Health Insurance,” in a session entitled, “Left, Right, and Center: The Future of the American Health Care System,” 1999 American Medical Association Interim Meeting of the House of Delegates Meeting, December 6, 1999, San Diego, CA.

“Lessons from New Jersey for Increasing Access to Health Insurance,” in a session entitled, “Is There A Fix for Individual Insurance? Lessons from Other States,” 1999 Washington Health Legislative Conference, “Looking Forward to the Future,” December 7, Seattle, WA.

1999 Midland Health Policy Lecture: “Restructuring Health Insurance and Health Insurance Markets to Cover the Uninsured,” Ohio State University, December 1, 1999.

Testimony on New Jersey’s Individual Health Coverage Program’s effects, before the State of Maryland’s Health Care Access and Cost Commission, July 28, 1999.

“What Triggers Beginnings and Endings of Uninsured Spells?,” presented at the Association for Health Services Research Annual Meeting, June 29, 1999.

“Lessons from New Jersey’s Regulations of Its Individual Health Insurance Market,” Agency for Health Care Policy and Research Conference on “The Individual Insurance Market: Performance and Potential,” Atlanta, GA, May 4, 1999.

“Unintended but Predictable Outcomes of Regulations of Insurance Markets,” presented at the Annual Meetings of the American Economics Association, January 1999.

“A Fairer Way to Control Prescription Drug Costs,” *Boston Globe*, December 8, 1998, page A27.

“Can Adverse Selection Be Avoided in a Market for Individual Health Insurance? Evidence from New Jersey,” presented at the Annual Research Meetings of the Association for Policy Analysis and Management, October 1998.

“New Jersey’s Experience with Regulating the Individual Health Insurance Market,” presented at a Forum of the United Hospital Fund, New York, April 16, 1998.

“Health Insurance for Children: Choices with the New State Children’s Health Insurance Programs,” A Dean’s Weekend Symposium on America’s Children: An Endangered Species?, Harvard School of Public Health, March 14, 1998.

“Predictable But Unintended Consequences of New Jersey’s Individual Health Coverage Program,” presented at the Annual Research Meetings of the Association for Public Policy Analysis and Management, November 1997; to Yale University School of Public Health RWJ Health Policy Scholar Program Seminar, January 1998; to Stanford University School of Medicine’s Department of Health Research and Policy, February 1998; and to the Harvard-Boston University-MIT Health Economics Seminar, March 1998.

Chaired a Mini-Plenary Session on Long-Term Restructuring of Medicare at the Annual Research Meetings of the Association for Public Policy Analysis and Management, November 1997.

Keynote Presentation at the Rochester (NY) Health Commission’s Forum on Community Rating, October 22, 1997 (invited by Charles Phelps).

“Effects of New Jersey’s Individual Health Coverage Program,” presented at the University of Michigan School of Public Health RWJ Health Policy Scholar Program Seminar, April 3, 1997.

“Medicare Restructuring: Move to a Catastrophic Plan,” presented at the Annual Meetings of the American Economics Association, January 1997.

Organized and Chaired the Harvard School of Public Health Grand Rounds; “The Future of Medicare: Impact of Reform Proposals,” March 6, 1997.

“What is the Future of Medicaid’s Eligibility Expansions for Pregnant Women?”, presented at the Annual Research Meetings of the Association for Public Policy Analysis and Management, November 2, 1997.

“The Real World of Policy Making: What Lessons Can We Learn?”, presented at the Robert Wood Johnson Foundation Conference on Research and Evaluation, Princeton, June 26-27, 1996.

“Economic Principles and Health Care Reform,” Swarthmore College Economics Department Campus Forum on Economics and Public Policy, November 1995.

“Information States Need to Have to Estimate the Costs of Programs for the Uninsured,” Harvard School of Public Health Conference on State Health Care Reform, May 4, 1995.

“Managed Care Organizations’ Incentives for Physicians to be Cost Conscious,” presented at the Annual Meetings of the American Economics Association, Washington, DC, January 7, 1995.

“Projecting Likely Economic Consequences or Reading Tea Leaves?”, University of Michigan Forum on Health Policy Reform, November 19, 1994.

“Market-Oriented Health Care: Prospects and Consequences,” Federal Reserve Bank of Boston Conference on the “On-Going Revolution in Health Care: What It Means for the New England Economy,” May 3, 1994.

“Subsidizing Insurance for the Uninsured,” presented to the Clinical Research Meeting of the Association of American Physicians, April 1994.

“Structuring Subsidies to Achieve Universal Health Insurance Coverage,” presented at the Eastern Economics Association Annual Meetings, March 1994.

Testimony on People Without Health Insurance and Why the Number Is Growing, before the Subcommittee on Health of the Committee on Ways and Means, U.S. House of Representatives, January 26, 1993.

Discussant for Session “Out-of-Pocket Health Care Expenditures” at the Annual Meetings of the American Economics Association, January 6, 1993; and Discussant and Chair for Session “Issues in Health Economics” at the Annual Meetings of the American Economics Association, January 5, 1993.

Discussant of “Reform of Health Care for the Poor” by Barbara Wolfe at the Institute for Research on Poverty Conference on “Poverty and Public Policy: What Do We Know? What Should We Do?”, May 1992.

“Experimenting with ‘Pay or Play’ Proposals As An Interim Phase of Developing National Health Insurance,” presented at the Cato Institute Conference on the Regulation of Medical Care, May 1, 1992.

“Characteristics that Cause A Spell Without Health Insurance to End,” (K. Swartz, J. Marcotte and T.D. McBride), presented at the Annual Meetings of the American Economics Association, January 5, 1992.

Planned and Chaired Roundtable on “How Might We Manage Physician Payments Under A National Health Insurance Program?” at the Annual Meetings of the Association for Public Policy Analysis and Management, October 25, 1991.

Testimony on Why Mandating Employer Provided Health Insurance Is Not A Good Way to Achieve Universal Health Insurance in the U.S. before the Committee on Government Operations, U.S. House of Representatives, June 18, 1991.

Testimony on the Effects of the 1990-91 Recession on the Uninsured before the Subcommittee on Health of the Committee on Ways and Means, U.S. House of Representatives, March 21, 1991.

Book Reviews

The New Medicine and The Old Ethics, by A.R. Jonsen, Harvard University Press, Cambridge, 1990, for Journal of Policy Analysis and Management, Vol. 11, No. 2 (Spring 1992).

Health Policies and Black Americans, edited by D.P. Willis, Transaction Publishers, New Brunswick, N.J., 1989, for Journal of Health Politics, Policy and Law, Vol. 15, No. 2 (Summer 1990), pp. 445-449.

Health Care in America, edited by H.E. Frech III, Pacific Research Institute for Public Policy, San Francisco, 1988, and Worse than the Disease: Pitfalls of Medical Progress, by D.B. Dutton, Cambridge University Press, New York, 1988, for Journal of Policy Analysis and Management, Vol. 9, No. 1 (Winter 1990).

Medicare Policy, by K. Davis and D. Rowland, Johns Hopkins University Press, Baltimore, 1985, for Journal of Health Politics, Policy and Law, Vol. 12, No. 1 (Spring 1987), pp. 189-192.

Is Prevention Better Than Cure?, by L. Russell, The Brookings Institution, Washington, D.C., 1986; The Health Policy Agenda, edited by M. Ein Lewin, American Enterprise Institute, Washington, D.C., 1985; and The End of an Illusion, edited by J. de Kervasdoue, J. Kimberly, and V. Rodwin, University of California Press, Berkeley and Los Angeles, 1984, for Journal of Policy Analysis and Management, Vol. 6, No. 1 (Fall 1986), pp. 114-117.

Women and the Mathematical Mystique, edited by L. Fox, L. Brody, and D. Tobin, The Johns Hopkins University Press, Baltimore, 1980, for The Physics Teacher, March, 1981, p. 208.

Women in the Labor Market, edited by C. Lloyd, E. Andrews and C. Gilroy, Columbia University Press, New York, 1979, for Women and Politics, Vol. 1, No. 3 (Fall 1980), pp. 75-78.

Personal Information

Married to Frank Levy